

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10763355
Filing Date	2004-01-23
First Named Inventor	Ravi MURTHY
Art Unit	2167
Examiner Name	Lewis, Cheryl Renea
Attorney Docket Number	OI7035852001

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5455945	A	1995-10-03	VanderDrift	
	2	6662342	B1	2003-12-09	Marcy	
	3	6665663	B2	2003-12-16	Lindsay et al.	
	4	6678672	B1	2004-01-13	Ramasamy et al.	
	5	6678686	B1	2004-01-13	Patel et al.	

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U.S. PATENT APPLICATION PUBLICATIONS

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	1	20010029604	A1	2001-10-11	Dreyband et al.	

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2	20020073399	A1	2002-06-13	Golden	
3	20020143521	A1	2002-10-03	Call	
4	20030014393	A1	2003-01-16	Kabra et al.	
5	20030037048	A1	2003-02-20	Kabra et al.	
6	20030074419	A1	2003-04-17	VanderDrift	
7	20030233618	A1	2003-12-18	Wan	
8	20040060007	A1	2004-03-25	Gottlob et al.	
9	20040068467	A1	2004-04-08	Barton et al.	
10	20040103105	A1	2004-05-27	Lindblad et al.	

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1							<input type="checkbox"/>
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	1	BRUNO, N. et al., "Holistic Twig Joins: Optimal XML Pattern Matching", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, pp. 310 - 321, ACM Press, USA	<input type="checkbox"/>
	2	CHAUDHURI, S. et al., "On Relational Support for XML Publishing: Beyond Sorting and Tagging", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, ACM Press, USA	<input type="checkbox"/>
	3	DAMIANI, E. et al., "A Fine-Grained Access Control System for XML Documents", ACM Transactions on Information and System Security (TISSEC), May 2002, pp. 169 - 202, Volume 5, Issue 2, ACM Press.	<input type="checkbox"/>
	4	DeHAAN, D. et al., "A Comprehensive XQuery to SQL Translation Using Dynamic Interval Encoding", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, pp. 623 - 634, ACM Press, USA	<input type="checkbox"/>
	5	FIALLI et al. "Java Architecture for XML Binding "JAXB" January 8, 2003, Sun Microsystems, pp. 58 and 74	<input type="checkbox"/>
	6	FIEBIG, T. et al., "Algebraic XML Construction and its Optimization in Natix", World Wide Web, January 2002, pp. 167 - 187, Volume 3, Issue 4, Kluwer Academic Publishers, The Netherlands	<input type="checkbox"/>
	7	FLESCA, S. et al., "A Query Language for XML Based on Graph Grammars", World Wide Web, August 2002, pp. 125 - 157, Volume 5, Issue 2, Kluwer Academic Publishers, The Netherlands	<input type="checkbox"/>
	8	FUNDERBURK, J. et al., "XML Programming with SQL/XML and XQuery", IBM Systems Journal, October 2002, pp. 642 - 665, Volume 41, Number 4, IBM Corporation, USA	<input type="checkbox"/>

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Application Number	10783355
Filing Date	2004-01-23
First Named Inventor	Ravi MURTHY
Art Unit	2187
Examiner Name	Lewis, Cheryl Renea
Attorney Docket Number	OI7035852001

9	IVES, Z. et al., "An XML Query Engine for Network-Bound Data", The VLDB Journal - The International Journal on Very Large Data Bases, December 2002, pp. 380 - 402, Volume 11, Issue 4, Springer-Verlag New York, Inc., USA	<input type="checkbox"/>
10	JIANG, H. et al., "Path Materialization Revisited: An Efficient Storage Model for XML Data", Australian Computer Science Communications, Proceedings of the 13th Australasian Database Conference, January 2002, pp. 85 - 94, Volume 24, Issue 2, Australian Computer Society, Inc., and the IEEE Computer Society Press, Australia	<input type="checkbox"/>
11	KATZ, H. et al., "XQuery from the experts: A guide to the W3C XML query language" August 2003, ISBN 0-321-18060-7, publication information pages, copyright information pages, Chapter 6, and Chapter 7, page 384	<input type="checkbox"/>
12	LEE, D. et al., "NeT & CoT: Translating Relational Schemas to XML Schemas Using Semantic Constraints", Proceedings of the 11th International Conference on Information and Knowledge Management, November 2002, pp. 282 - 291, ACM Press, USA	<input type="checkbox"/>
13	LI, C. et al., "Composing XSL Transformations with XML Publishing Views", Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data, June 2003, pp. 515 - 526, ACM Press, USA	<input type="checkbox"/>
14	LI, X. et al., "Compiler Support for Efficient Processing of XML Datasets", Proceedings of the 17th Annual International Conference on Supercomputing, June 2003, pp. 42 - 52, ACM Press, USA	<input type="checkbox"/>
15	NOGA et al. "Lazy XML Processing" November 2002, ACM, pp. 88-94	<input type="checkbox"/>
16	PAPAKONSTANTINOY, Y. et al., "OURSED: Querying and Reporting Semistructured Data", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, ACM Press, USA	<input type="checkbox"/>
17	SHANMUGASUNDARAM, J. et al., "Efficiently Publishing Relational Data as XML Documents", The International Journal on Very Large Data Bases, September 2001, pp. 133 - 154, Volume 10, Issue 2-3, Springer-Verlag New York, Inc., USA	<input type="checkbox"/>
18	TATARINOV, I. et al., "Storing and Querying Ordered XML Using a Relational Database System", Proceedings of the 2002 ACM SIGMOD International Conference on Management of Data SIGMOD '02, June 2002, ACM Press, USA	<input type="checkbox"/>
19	TIAN et al. "The Design and Performance Evaluation of Alternative XML Storage Strategies" March 2002, pp. 6-10	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature	Date Considered
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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter C. Mei/	Date (YYYY-MM-DD)	2006-12-21
Name/Print	Peter C. Mei	Registration Number	39768

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**